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PTO/SB/21 (09-04)

TRANSMITTAL FORM

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Total Number of Pages in This Submission

Application Number	10/660,884
Filing Date	September 12, 2003
First Named Inventor	Rowe, Robert K.
Art Unit	3736
Examiner Name	Winakur, Eric Frank
Attorney Docket Number	020204-001410US

ENCLOSURES (Check all that apply)

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Remarks The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Townsend and Townsend and Crew LLP
Signature	
Printed name	Patrick M. Boucher
Date	2004 December 16

Reg. No. 44,037

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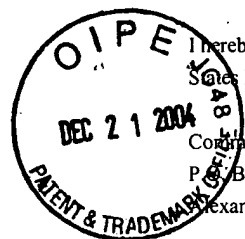
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PATENT
Attorney Docket No.: 020204-001410US

On Dec 17, 2004

TOWNSEND and TOWNSEND and CREW LLP

By: Nicole M. Wartell
Nicole M. Wartell

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Robert K. Rowe et al.

Application No.: 10/660,884

Filed: September 12, 2003

For: APPARATUS AND METHOD FOR
IDENTIFICATION OF INDIVIDUALS
BY NEAR-INFRARED SPECTRUM

Examiner: WINAKUR, ERIC FRANK

Art Unit: 3736

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37
CFR §1.97 and §1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450


Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. A copy of the non-patent literature reference is enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,


Patrick M. Boucher
Reg. No. 44,037

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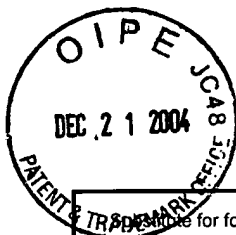
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Complete if Known		
			Application Number	10/660,884	
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			First Named Inventor	Rowe, Robert K	
			Art Unit	3736	
Sheet	1	of	2	Examiner Name	WINAKUR, ERIC FRANK
				Attorney Docket Number	020204-001410US

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)			
	A1	US-5,796,858	08-18-1998	Zhou et al.	
	A2	US-6,097,035	08-01-2000	Belongie et al.	
	A3	US-6,122,737	09-19-2000	Bjorn et al.	
	A4	US-6,125,192	09-26-2000	Bjorn et al.	
	A5	US-6,188,781 B1	02-13-2001	Brownlee	
	A6	US-6,282,303 B1	08-28-2001	Brownlee	
	A7	US-6,292,576 B1	09-18-2001	Brownlee	
	A8	US-6,324,310 B1	11-27-2001	Brownlee	
	A9	US 2003/0078504 A1	04-24-2003	Rowe, et al.	
	A10	US-6,741,729 B2	05-25-2004	Bjorn et al.	
	A11	US-6,799,275 B1	09-28-2004	Bjorn	
	A12	US-6,816,605 B2	11-09-2004	Rowe, et al.	
	A13	US 2004-0240712 A1	12-02-2004	Rowe, et al.	

FOREIGN PATENT DOCUMENTS								
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Sheet	2	of	2	Attorney Docket Number	020204-001410US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	C1	ZAVALA, ALBERT & PALEY, JAMES J. "Using fingerprint measures to predict other anthropometric Variables" Human Factors, 1975, pp. 591-602, Vol. 17, No. 6.	
	C2		
	C3		

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